

## **Project Disclosure for Advisory Services Projects**

June 2020



## We work to improve lives in Latin America and the Caribbean

| Operation Number  | AUSTF009  |
|---|---|
| Operation Name  | GREENPYME Ecuador   |
| Donor/Fund:   | Austrian Trust Fund   |
| Sector  | Corporates and Financial Sectors  |
| Countries   | Ecuador   |
| Approved amount (USD)   | \$230,400   |
| Counterpart   | N/A   |
| Segment   | Corporates and SMEs   |
| Objective   | GREENPYME is a technical assistance program that provides small<br>and medium-sized enterprises (SMEs) with expert advisory services<br>including training and energy auditing to help them improve<br>competitiveness and lower their energy costs.  |
| Expected Development Impact   | This project has promoted energy efficiency (EE) in LAC countries, providing technical assistance to small and medium-sized enterprises (SMEs) with expert advisory services including training activities and energy audits to help them improve competitiveness and lower their energy costs. Also, the project supported financial institutions in LAC countries such as Ecuador in their issuing of green bonds to promote the development of green capital markets and new asset classes.  |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified.   |
| Results (at termination)  | The Austrian Trust Fund has been instrumental in the implementation of key initiatives to promote energy efficiency (EE) in LAC countries, providing technical assistance to small and medium-sized enterprises (SMEs) with expert advisory services including training activities and energy audits to help them improve competitiveness and lower their energy costs. Additionally, the fund has supported financial institutions in LAC countries such as Ecuador in their issuing of green bonds to promote the development of green capital markets and new asset classes. |
| Approval year   | 2015  |
| Status  | Completed in 2020   |

| Operation Number      | CTF001   |
|-----------------------|--|
| Operation Name        | Colombia Sustainable Energy Finance Program (C-SEF)  |
| Donor/Fund:           | Clean Technology Fund  |
| Sector                | Multisector  |
| Countries             | Colombia   |
| Approved amount (USD) | \$588,000  |
| Segment               | Financial Institutions   |
| Objective             | Develop a market for private financing for energy efficiency and<br>cleaner production in Colombia by supporting financial institutions<br>and addressing market barriers on a programmatic basis. |



| Expected Development Impact   | Increased availability of green financing for SMEs in Colombia |
|---|--|
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified.                                      |
| Results (at termination)  | In Execution   |
| Approval year   | 2012   |
| Status  | In Execution   |

| NDF011 (includes NDF002, NDF003, NDF004)  |
|---|
| GREENPYME Central America   |
| Nordic Development Fund   |
| Multisector   |
| Central America: Costa Rica, El Salvador, Guatemala, Honduras,<br>Nicaragua   |
| \$1,313,500   |
| Financial Institutions  |
| Provide SMEs with expert advisory services on Energy Efficiency<br>(EE) in collaboration with local financial institutions. These services<br>include training and energy audits to help SME's become more<br>competitive by reducing their energy costs. |
| Promote sustainable SME development and climate change mitigation in the region.  |
| No risks were identified.   |
| In Execution  |
| 2013  |
| In Execution  |
|   |

| Operation Number  | NDF013  |
|---|---|
| Operation Name  | GREENPYME Central America   |
| Donor/Fund:   | Nordic Development Fund   |
| Sector  | Multisector   |
| Countries   | Bolivia   |
| Approved amount (USD)   | \$890,000   |
| Segment   | Financial Institutions  |
| Objective   | Provide SMEs with expert advisory services on Energy Efficiency<br>(EE) in collaboration with local financial institutions. These services<br>include training and energy audits to help SME's become more<br>competitive by reducing their energy costs. |
| Expected Development Impact   | Promote sustainable SME development and climate change mitigation in the region.  |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified.   |
| Results (at termination)  | In Execution  |
| Approval year   | 2015  |



| Status | In Execution |
|--------|--------------|
|        |              |

| Operation Number  | NDF018   |
|---|--|
| Operation Name  | GREENPYME+ Facility  |
| Donor/Fund:   | Nordic Development Fund  |
| Sector  | Multisector  |
| Countries   | Nicaragua, Honduras, Bolivia, Costa Rica, Guatemala, El Salvador<br>and Dominican Republic   |
| Approved amount (USD)   | \$1,840,000  |
| Segment   | Financial Institutions   |
| Objective   | Accelerate the development of energy efficiency climate finance<br>investment projects by financing market studies (sector specific,<br>innovative technology, green financial product, etc.) and feasibility<br>studies related with sustainable climate solutions by working with<br>Fl and/or large corporations with local SME value chain. The<br>Facility will support the preparation of climate finance non-<br>sovereign guaranteed ("NSG") operations. At the same time, it will<br>continue to offer direct technical assistance to SME through energy<br>audits, monitoring reports and specific workshops |
| Expected Development Impact   | Scale up energy efficiency measures and investments in SME through raising awareness, workshops and financial  |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified.  |
| Results (at termination)  | In Execution   |
| Approval year   | 2016   |
| Status  | In Execution   |

| Operation Number            | BELTF005   |
|-----------------------------|--|
| Operation Name              | FINPYME ExportPlus Program   |
| Donor/Fund:                 | Belgium-Wallonia Trust Fund  |
| Sector                      | Corporates and Financial Sectors   |
| Countries                   | Primary focus on the following countries: Belize, Costa Rica,<br>Dominican Republic, Guatemala, Haiti, Honduras, Jamaica,<br>Nicaragua, and Panama   |
| Approved amount (USD)       | \$230,400  |
| Counterpart                 | N/A  |
| Segment                     | Corporates and SMEs  |
| Objective                   | Increase sustainability of selected IDB Invest clients and support<br>SMEs to boost their export capacity and/or access export markets<br>by (i) increasing the export capacity of companies, (ii) building<br>capacity of financial institutions in expanding their products and<br>services for SMEs, (iii) providing technical assistance for family-<br>owned companies, and (iv) providing technical assistance to IDB<br>Invest clients in cross-cutting themes such as sustainability, gender<br>and climate change |
| Expected Development Impact | Increase sustainability of companies and financial institutions in IDB<br>Invest member counties, to ensure economic growth and<br>sustainable support for SMEs in the region  |



| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified. |
|---|---------------------------|
| Results (at termination)  | In Execution              |
| Approval year   | 2016                      |
| Status  | In Execution              |

| Operation Number  | N/A   |
|---|---|
| Operation Name  | FINPYME ExportPlus: El Salvador and Bolivia   |
| Donor/Fund:   | Italian IDB Invest Trust Fund   |
| Sector  | Corporates and Financial Sectors  |
| Countries   | El Salvador and Bolivia   |
| Approved amount (USD)   | \$567,000   |
| Segment   | Corporates and SMEs   |
| Objective   | The objective of the Finpyme ExportPlus Program in El Salvador<br>and Bolivia is related to the "Aid for Trade" initiative, which focuses<br>on increasing trade-related assistance in El Salvador and Bolivia. |
| Expected Development Impact   | Support the internationalization of companies in El Salvador and<br>Bolivia, particularly small and medium-size enterprises, and help<br>them become more competitive in the global economy.                    |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified.   |
| Results (at termination)  | In Execution  |
| Approval year   | 2012  |
| Status  | In Execution  |

| Operation Number  | NETO01  |
|---|---|
| Operation Name  | B2B The Netherlands - LAC Program   |
| Donor/Fund:   | FINPYME Mujer Empresaria Trust Fund   |
| Sector  | Mainly environmental (water treatment, waste management), dairy, agribusiness, healthcare, and oil & gas  |
| Countries   | Colombia  |
| Approved amount (USD)   | \$519,217   |
| Segment   | SMEs  |
| Objective   | The objective is to help develop business relations between<br>companies from The Netherlands and SMEs in LAC through joint-<br>ventures involving equity and non-equity arrangements (e.g.<br>cooperative agreements, licensing, franchising, etc.). |
| Expected Development Impact   | Contribute to the internationalization of SMEs in LAC and increase their participation in global markets.   |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified.   |
| Results (at termination)  | Participating SME's from Colombia and The Netherlands were<br>matched to form potential Joint Ventures (JVs). The JVs received<br>technical assistance to develop their business plans, which included  |



|               | economic and financial models which will enable the JVs to obtain<br>financing from potential funders. A closing workshop facilitated the<br>interaction between the JVs and potential funders, as well as with<br>other relevant stakeholders who are fit to support the JVs in the<br>process of securing financing for their business plans. Therefore,<br>contributing to enhancing the capacity of the SMEs and their<br>capacity to expand their operations, both domestically and<br>internationally. |
|---------------|--|
| Approval year | 2015   |
| Status        | Completed in 2018  |

| Operation Number  | TAS013  |
|---|---|
| Operation Name  | Argentinean wind-wildlife Study and Workshop  |
| Donor/Fund:   | Technical Assistance Fund   |
| Sector  | Energy  |
| Countries   | Argentina   |
| Approved amount (USD)   | \$165,000   |
| Segment   | Infrastructure and Energy   |
| Objective   | Build capacity and develop resources to support environmentally<br>responsible wind energy development in Argentina, by addressing<br>the expertise and data deficiencies to build a robust wind-wildlife<br>scientific capacity within Argentina. Moreover, this project aims to<br>set the basis for future development of tools that can assist various<br>Argentinian wind energy stakeholders, including developers,<br>financiers, regulators, and conservationists, in incorporating wildlife<br>considerations into wind energy developments throughout the life<br>cycle of wind energy projects, from the initial planning and siting<br>stages, to impact monitoring and mitigation. |
| Expected Development Impact   | Support investment on energy infrastructure by working on the<br>establishment of standards for wind power projects in Argentina;<br>expansion of power generation capacity, particularly through<br>nonconventional renewable (wind and solar) energy  |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified  |
| Results (at termination)  | In Execution  |
| Approval year   | 2017  |
| Status  | In Execution  |

| Operation Number      | TAS015  |
|-----------------------|---|
| Operation Name        | Launching Gender as a Transversal Topic at the IDB Invest |
| Donor/Fund:           | Technical Assistance Fund                                 |
| Sector                | Multisector   |
| Countries             | Regional  |
| Approved amount (USD) | \$535,000   |
| Segment               | Multisegment  |



| Objective   | Support the design of a multi-year gender action plan that aims to<br>find innovative solutions for second-generation gender equality<br>challenges, such as labor-market segregation in low productivity<br>sectors, low rates of women graduating from Science, Technology,<br>Engineering and Mathematics (STEM) programs, growth in women-<br>led small and medium-sized enterprises (SMEs), among others.<br>Through its gender work, the IDB Invest aims to support two<br>primary groups: 1) "women in the workforce" (corporate leaders,<br>employees) and 2) women entrepreneurs and women consumers,<br>referred to overall as "women's markets". |
|---|---|
| Expected Development Impact   | Mainstream gender equality to promote women in the workplace<br>and in corporate value chains, increase direct investment in<br>women-specific projects, influence private sector markets   |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified  |
| Results (at termination)  | In Execution  |
| Approval year   | 2017  |
| Status  | In Execution  |

| Operation Number  | TAS017  |
|---|---|
| Operation Name  | Support for the structure and design of the international public tender for Highway Projects in Argentina under a PPP framework   |
| Donor/Fund:   | Technical Assistance Fund   |
| Sector  | Infrastructure  |
| Countries   | Argentina   |
| Approved amount (USD)   | \$300,000   |
| Segment   | Infrastructure and Energy   |
| Objective   | Support the structuring of Argentina's highway concession program.  |
| Expected Development Impact   | Expansion of the Argentine PPP by attracting private investment.<br>Build capacity and experience within the Argentinian government<br>to implement highway PPP projects. |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified  |
| Results (at termination)  | In Execution  |
| Approval year   | 2017  |
| Status  | In execution  |

| Operation Number      | TAS018   |
|-----------------------|--|
| Operation Name        | Developing the Strategy for Indigenous and Traditional People<br>Inclusion |
| Donor/Fund:           | Technical Assistance Fund  |
| Sector                | Crosscutting   |
| Countries             | Regional - LAC   |
| Approved amount (USD) | US\$ 300,000.00  |
| Segment               | Multi-segment  |



|   | Support activities for developing IDB Invest Indigenous and<br>Traditional Peoples Strategy (I&TP Strategy); including mapping of<br>our portfolio and drawing lessons from these projects. But also look<br>for opportunities in current projects and new markets. Lastly, to<br>work on internal sensitization to influence change on biased |
|---|--|
| Objective   | behaviors.   |
|   | Integrate the participation of I&TP in current projects and new  |
| Expected Development Impact   | markets.   |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified   |
| Approval year   | 2018   |
| Status  | In execution   |

| Operation Number  | CTA012  |
|---|---|
| Operation Name  | KUA MEX FOODS   |
| Donor/Fund:   | China - IIC SME Technical Assistance Trust Fund   |
| Sector  | Corporates  |
| Countries   | Mexico  |
| Approved amount (USD)   | US\$ 105,000.00   |
| Segment   | SMEs  |
| Objective   | To develop and strength corporate governance functions; as well<br>as develop and implement an Environmental and Social<br>Management System                                      |
| Expected Development Impact   | Improved transparency and efficiency in the management of the organization and also preparation for certification of international standards (ISO 14001 and OHSAS 18001           |
| Social and Environmental Risks<br>identified and mitigation<br>measures | This project poses no exceptions to IIC policy, including<br>Procurement and the Environmental and Social Sustainability<br>Policy, and it complies with the IIC's exclusion list |
| Results (at termination)  | In Execution  |
| Approval year   | 2018  |
| Status  | In Execution  |

| Operation Number            | CTA013   |
|-----------------------------|--|
| Operation Name              | Green Finance and Fintech: Identifying good practices and solutions that can be replicated in LAC  |
| Donor/Fund:                 | China - IIC SME Technical Assistance Trust Fund  |
| Sector                      | FI and Corporates  |
| Countries                   | Regional - LAC   |
| Approved amount (USD)       | US\$ 350,000.00  |
| Segment                     | Climate Change   |
| Objective                   | To develop knowledge products on green finance and fintech,<br>design venues for exchanging ideas across the investors and<br>financiers on the potential benefits of sustainable finance as well as<br>facilitate business to business dialogues between LAC and Chinese<br>businesses on green finance and fintech |
| Expected Development Impact | Increased Green Finance and Fintech knowledge of lessons from<br>China in private companies, financiers and investors working in LAC   |



|   | and dissemination of knowledge among stakeholders in LAC  |
|---|---|
| Social and Environmental Risks<br>identified and mitigation<br>measures | This project poses no exceptions to IIC policy, including<br>Procurement and the Environmental and Social Sustainability<br>Policy, and it complies with the IIC's exclusion list |
| Results (at termination)  | In Execution  |
| Approval year   | 2018  |
| Status  | In Execution  |

| Operation Number  | AICO01  |
|---|---|
| Operation Name  | Sigora  |
| Donor/Fund:   | Haiti Technical Assistance Fund   |
| Sector  | Energy Infrastructure   |
| Countries   | Haiti   |
| Approved amount (USD)   | \$770,000   |
| Segment   | Infrastructure  |
| Objective   | Support the investment program "Sigora Haiti Microutility Project",<br>which will bring electricity to 27 thousand households (3.5MW).<br>This project will support the structuring and implementation of the<br>investment project, including a series of feasibility studies and<br>activities to incorporate gender. These activities will ensure that the<br>investment is sound to ensure its successful implementation, which<br>will bring important social and economic development in Haiti<br>through investment in sustainable energy. |
| Expected Development Impact   | Bringing electricity to communities outside the main grid is<br>expected to have important benefits in the areas of health,<br>education and economic stimulation, among others.  |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified  |
| Results (at termination)  | In Execution  |
| Approval year   | 2017  |
| Status  | In Execution  |

| Operation Number            | RG-T3075  |
|-----------------------------|---|
| Operation Name              | Strengthening Value Chains in the Caribbean   |
| Donor/Fund:                 | Compete Caribbean Partnership Facility  |
| Sector                      | Value chains  |
| Countries                   | Regional (BA BH BL DR GY HA JA SU TT)   |
| Approved amount (USD)       | \$500,000   |
| Segment                     | Corporates  |
| Objective                   | To provide custom-made advisory services to IDB Invest clients in<br>the Caribbean to strengthen companies' value chain through social<br>and environmental investments that ultimately generate financial or<br>economic benefit for the company and its value chain actors, a<br>"shared value lens". |
| Expected Development Impact | Strengthen value chains in the Caribbean through using a shared value lens; bolster social and environmental sustainability along the value chain.  |



| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified |
|---|--------------------------|
| Results (at termination)  | In Execution             |
| Approval year   | 2017                     |
| Status  | In Execution             |

| Operation Number  | JA-T1148  |
|---|---|
| Operation Name  | Pricing, Targeting, and Effectiveness of Business-training Activities.<br>Experimental Evidence from Jamaica.   |
| Donor/Fund:   | Compete Caribbean Partnership Facility  |
| Sector  | Corporates  |
| Countries   | Jamaica   |
| Approved amount (USD)   | \$90,000  |
| Segment   | Corporates  |
| Objective   | Improve the pricing, targeting, and effectiveness of business training activities   |
| Expected Development Impact   | Ensure the long-term sustainability of the provision of the business<br>training services and allow providers to offer training services in a<br>financially sustainable manner   |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified  |
| Results (at termination)  | The project was the first application of the Becker-DeGroot-<br>Marschak (BDM) and take-it-or-leave-it (TIOLI) methods to assess<br>entrepreneurs' willingness to pay for training activities. This project<br>recruited 852 entrepreneurs to participate in the elicitation survey.<br>200 training workshops were delivered in which 600 entrepreneurs<br>were trained. The findings of the project concluded that paying a<br>positive price increases the participation to the training. However,<br>charging a high price dissuades entrepreneurs from purchasing the<br>course. |
| Approval year   | 2017  |
| Status  | Completed in 2020   |
|   | 1   |

| Operation Number      | RG-T3062  |
|-----------------------|---|
| Operation Name        | Communication for Climate-Smart Agriculture   |
| Donor/Fund:           | USAID   |
| Sector                | Agriculture   |
| Countries             | Regional (BL CR DR ES GU HO NI PN)  |
| Approved amount (USD) | \$690,000   |
| Segment               | Multisegment  |
| Objective             | Disseminate knowledge and experience on Climate-Smart<br>Agriculture (CSA) practices among private sector stakeholders,<br>identify mechanisms for effective communication of the benefits of<br>CSA, and support the execution of private sector investments to<br>increase agricultural productivity and yields while reducing climate<br>vulnerability, greenhouse gas emissions, and/or enhancing |



|   | biodiversity and ecosystem services.  |
|---|---|
| Expected Development Impact   | Increase knowledge shared and adoption of CSA practices in<br>Central America |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified  |
| Results (at termination)  | In Execution  |
| Approval year   | 2017  |
| Status  | In Execution  |

| Operation Number  | RG-T3063   |
|---|--|
| Operation Name  | Banking for Climate-Smart Agriculture  |
| Donor/Fund:   | USAID  |
| Sector  | Agriculture  |
| Countries   | Regional (BL CR DR ES GU HO NI PN)   |
| Approved amount (USD)   | \$1,792,500  |
| Segment   | Financial Institutions   |
| Objective   | To promote banking for and adoption of Climate-Smart Agriculture<br>(CSA) investments in Central America. By working with financial<br>institutions (FIs) and their agricultural clients the specific objectives<br>are: (i) to generate applied knowledge in specific sectors to<br>increase the understanding of CSA benefits, to identify potential<br>investment opportunities and support FIs in the design of financial<br>products for CSA; (ii) to strengthen capacity for FIs for the<br>identification and management of CSA lending and for FIs clients to<br>transfer knowledge and enable the successful implementation of<br>CSA measures. |
| Expected Development Impact   | Increase the sustainability of agricultural practices in the region through promoting tailored financial instruments (CSA lines)   |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified   |
| Results (at termination)  | In Execution   |
| Approval year   | 2017   |
| Status  | In Execution   |

| Operation Number      | RG-T3067   |
|-----------------------|--|
| Operation Name        | Climate-Smart Agriculture for Corporates   |
| Donor/Fund:           | USAID  |
| Sector                | Agriculture  |
| Countries             | Regional (BL CR DR ES GU HO NI PN)   |
| Approved amount (USD) | \$1,792,500  |
| Segment               | Corporates   |
| Objective             | Promote the adoption of CSA investments in Central America by<br>working with agribusinesses and their agricultural clients (i) to<br>generate applied knowledge in specific sectors to increase the<br>understanding of CSA benefits and to identify potential investment |



|   | opportunities and to (ii) to strengthen capacity of agribusinesses<br>and their value chains for sustainable implementation of CSA<br>investments. |
|---|--|
| Expected Development Impact   | Increase the sustainability of agricultural practices in the region by working with agribusiness value chain                                       |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified   |
| Results (at termination)  | In Execution   |
| Approval year   | 2017   |
| Status  | In Execution   |

| Operation Number  | EC-T1381   |
|---|--|
| Operation Name  | Support to Oleana's Palm Oil Supply Chain  |
| Donor/Fund:   | Sustainable Energy and Climate Change Multi-Donor Fund (MSC)   |
| Sector  | Agriculture  |
| Countries   | Ecuador  |
| Approved amount (USD)   | \$400,000  |
| Segment   | Corporates   |
| Objective   | Contribute to the increased sustainability and productivity of the<br>palm sector in Ecuador. It has two main objectives: i) to support<br>Oleana's palm fruit suppliers to obtain their RSPO certification by<br>helping them to comply with some requirements for the<br>certification, and ii) to improve small farmers' palm productivity<br>through the provision of tailored agricultural advice via mobile<br>phones. |
| Expected Development Impact   | Ensure that Oleana´s supply chain is composed of certified palm growers who are aligned RSPO certification in Ecuador  |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified   |
| Results (at termination)  | In Execution   |
| Approval year   | 2017   |
| Status  | In Execution   |

| Operation Number  | RG-T2911   |
|---|--|
| Operation Name  | Sustainable Energy Project Preparation Facility  |
| Donor/Fund:   | Sustainable Energy and Climate Change Multi-Donor Fund (MSC)   |
| Sector  | Infrastructure   |
| Countries   | Regional   |
| Approved amount (USD)   | \$ 1,500,000   |
| Segment   | Infrastructure and Energy  |
| Objective   | Support the preparation of sustainable energy Non-Sovereign<br>Guaranteed ("NSG") operations to be financed by the IDB Invest. |
| Expected Development Impact   | Scale up investment in sustainable energy projects in C and D countries  |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified   |



| Results (at termination)  | In Execution   |
|---|--|
| Approval year   | 2017   |
| Status  | In Execution   |
|   |  |
| Operation Number  | BH-G0001   |
| Operation Name  | Smart Water Management Pilot Program in The Bahamas  |
| Donor/Fund:   | Sustainable Energy and Climate Change Multi-Donor Fund (MSC)   |
| Sector  | Water  |
| Countries   | Bahamas  |
| Approved amount (USD)   | \$245,000  |
| Segment   | Infrastructure and Energy  |
| Objective   | Support Grand Bahamas Utility Company Limited (GBUC) in the<br>transition towards water efficient metering technology to benefit<br>consumers and increase water efficient management through the<br>implementation of a pilot project testing Smart water meter<br>technology |
| Expected Development Impact                                       | Improved service delivery and efficiency of water services<br>providers; reducing costs and water losses, streamlining operation<br>and maintenance, and improving data and asset management in<br>Water Utilities   |
| Social and Environmental Risks identified and mitigation measures | No risks were identified   |
| Results (at termination)  | The planned activities were not pursued. No resources were spent.  |
| Approval year   | 2017   |
| Status  | Cancelled  |

| Operation Number  | RG-T3207  |
|---|---|
| Operation Name  | Innovation and Private Sector Development: IDB Invest Legacy<br>Operations  |
| Donor/Fund:   | Korea Private Sector Development and Innovation Fund  |
| Sector  | Crosscutting  |
| Countries   | Regional – LAC  |
| Approved amount (USD)   | US\$1,034,877   |
| Segment   | Multi-segment   |
| Objective   | Support and promote private sector development through<br>platforms to disseminate knowledge to build capacity among SMEs;<br>support FINPYME Credit operations; promote improved water<br>management systems; support small financial institutions and direct<br>technical assistance. |
| Expected Development Impact   | Promote private sector development  |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified.   |
| Approval year   | 2018  |
| Status  | In execution  |

| Operation Number | PE-T1403 |
|------------------|----------|
|                  |          |



| Operation Name  | Sustainable Infrastructure Workshop in Peru  |
|---|--|
| Donor/Fund:   | Sustainable Infrastructure Program (SIP)   |
| Sector  | Infrastructure and Energy  |
| Countries   | Peru   |
| Approved amount (USD)   | \$120,000  |
| Counterpart   | N/A  |
| Segment   | Infrastructure and Energy  |
| Objective   | Build capacity in LAC with respect to sustainable infrastructure to<br>promote the exchange of ideas, priorities and successful cases and<br>be a catalyst for strategic investments for the private sector in<br>sustainable low carbon infrastructure.   |
| Expected Development Impact   | Technical assistance to provide capacity building and training to<br>foster the inclusion of environmental and social criteria in<br>infrastructure projects as well as the financing structures for such<br>investments.  |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified.  |
| Results (at termination)  | This project enabled a workshop that gathered 80 key stakeholders<br>from Mexico, Peru, Brazil and Colombia to share experiences in the<br>delivery of Sustainable Infrastructure. The event convened speakers<br>from the private sector including project sponsors, developers,<br>financers, NGOs, public authorities and multilaterals institutions.<br>The workshop showed that it is feasible to merge the agendas of<br>engineering, finance, climate and environment, innovation and<br>business in infrastructure, raising the interest of all the parties. |
| Approval year   | 2018   |
| Status  | Completed in 2019  |

| Operation Number   | RG-T3293  |
|--|---|
| Operation Name   | Advisory Services to the Private Sector for the development of Sustainable Infrastructure   |
| Donor/Fund:  | United Kingdom Sustainable Infrastructure Program (SIP)   |
| Sector   | Climate   |
| Countries  | Brazil, Colombia, Mexico and Peru   |
| Approved amount (USD)                                    | US\$ 1,950,000  |
| Counterpart  | N/A   |
| Segment  | Infrastructure and Energy   |
| Objective  | The objective of these Advisory Services (AS) is to catalyze and<br>unlock private sector financing in low-carbon and sustainable<br>infrastructure investments in Brazil, Colombia, Mexico and Peru,<br>addressing the need to support the design and development of<br>bankable projects.   |
| Expected Development Impact                              | (i) accelerate and enable private investments in low carbon and<br>resilient infrastructure by developing feasibility studies for the<br>sponsor's projects; (ii) innovate in financial, legal strategic or<br>operational structures for transformational projects aiming at low<br>carbon development; (iii) develop capacity building and creation of<br>markets in low carbon infrastructure. |
| Social and Environmental Risks identified and mitigation | No risks were identified.   |



| measures                 |              |
|--------------------------|--------------|
| Results (at termination) | In execution |
| Approval year            | 2018         |
| Status                   | In execution |

| Operation Number  | RG-T3172  |
|---|---|
| Operation Name  | Support to the Private Sector to Include Climate Change<br>Considerations in the Structuring of Sustainable Infrastructure<br>Projects and Public-Private Partnerships  |
| Donor/Fund:   | NDC Accelerator Fund (ACL)  |
| Sector  | Infrastructure & Energy   |
| Countries   | Regional  |
| Approved amount (USD)   | \$990,000.00  |
| Counterpart   | N/A   |
| Segment   | Infrastructure & Energy   |
| Objective   | The purpose of this operation is to support the inclusion of aspects<br>of Climate Change in the structuring of sustainable infrastructure<br>projects developed by IDB Invest, including those developed under<br>the Public-Private Partnerships (PPP) scheme. An additional<br>objective is to generate knowledge and organize dissemination<br>activities to promote adaptation and mitigation considerations to<br>Climate Change in PPP projects. |
| Expected Development Impact   | The support to sustainable infrastructure projects will enhance<br>competitiveness and inclusive growth. Advisory services will focus<br>on adaptation and mitigation to climate change in infrastructure<br>projects, structuring of sustainable infrastructure projects and<br>knowledge products.  |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified.   |
| Results (at termination)  | In Execution  |
| Approval year   | 2018  |
| Status  | In execution  |

| Operation Number            | RG-T3290  |
|-----------------------------|---|
| Operation Name              | Smart Innovation Program: Harnessing inclusive development through digital innovation, "Internet of Things" (IoT)   |
| Donor/Fund:                 | Korea Private Sector Development and Innovation Fund  |
| Sector                      | Crosscutting  |
| Countries                   | Regional - LAC  |
| Approved amount (USD)       | USD 900,000   |
| Segment                     | Multi-segment   |
| Objective                   | The program will help IoT companies or users of IoT solutions to<br>improve their capacity to expand their business while unlocking<br>new business opportunities in the region through access to<br>connected devices and sensors. It will contribute to the inclusive<br>growth by directly supporting the IoT ecosystem and fostering<br>digital innovation in the region. |
| Expected Development Impact | Promote technology adaptation and innovation in the region  |



| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified. |
|---|---------------------------|
| Approval year   | 2018                      |
| Status  | In execution              |

| Operation Number  | RG-T3326  |
|---|---|
| Operation Name  | Sustainable financing program in Latin America<br>and the Caribbean   |
| Donor/Fund:   | Sustainable Energy and Climate Change Multi-<br>Donor Trust Fund (MSC)  |
| Sector  | Financial Institutions  |
| Countries   | Regional – LAC  |
| Approved amount (USD)   | \$1,500,000   |
| Segment   | Financial Institutions  |
| Objective   | To support FIs in LAC in creating market oriented sustainable<br>finance programs. Programs will support building capabilities,<br>creating innovative financial instruments and catalyzing the<br>ecosystem for financial sector knowledge required in the region to<br>scale up private climate finance. To provide sustained support to<br>such objective, MSC seed funding will allow IDB Invest to create the<br>Sustainable Financing Program in LAC. |
| Expected Development Impact   | Development of Sustainable Finance Programs in lead anchor<br>Financial Institutions in LAC   |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified  |
| Approval year   | 2018  |
| Status  | In execution  |

| Operation Number      | RG-T3345  |
|-----------------------|---|
| Operation Name        | Advisory Services to foster Energy Efficiency and Renewable<br>Energy through small transactions in S&I countries   |
| Donor/Fund:           | NDC Accelerator   |
| Sector                | Infrastructure and Energy   |
| Countries             | Bahamas, Barbados, Jamaica, Suriname, Trinidad and Tobago,<br>Belize, Dominican Republic, Guyana, Haiti   |
| Approved amount (USD) | \$1,000,000   |
| Segment               | Infrastructure and Energy   |
| Objective             | The objective of these Advisory Services (AS) is to support the development of energy efficiency and self-generation of renewal energy (EE&RE) investment projects through non-sovereign guaranteed operations in Small and Island (S&I) countries eligible to be financed by the Inter-American Investment Corporation (IDB Invest). The specific objectives are to: (i) consolidate a tailored framework to foster the origination and execution of investments in EE&RE (ii) support the financial preparation of EE&RE transactions; and (iii) generate awareness and knowledge among project stakeholders for the development of EE&RE projects. |



| Expected Development Impact   | Development of Renewal Energy and Energy Efficiency in Small and Island countries of LAC |
|---|--|
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified   |
| Approval year   | 2018   |
| Status  | In execution   |

| Operation Number  | GU-G1008   |
|---|--|
| Operation Name  | Innovation and Gender Inclusion in Prepaid Solar Distributed<br>Energy for Base of the Pyramid Homes   |
| Donor/Fund:   | Sustainable Energy and Climate Change Multi-Donor Fund (MSC)   |
| Sector  | Crosscutting   |
| Countries   | Guatemala  |
| Approved amount (USD)   | \$290,000  |
| Segment   | Infrastructure and Energy  |
| Objective   | To support Kingo on building an innovation laboratory to develop<br>new prototype products, and the development of a gender-<br>inclusive business model to foster women inclusion in Kingo's<br>workforce.  |
| Expected Development Impact   | Contribute to the region's sustainability by ensuring energy<br>demand levels are satisfied, particularly in rural, base of the<br>pyramid, homes. Promote gender inclusion in the region by<br>integrating women in non-traditional or technical roles. |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified   |
| Approval year   | 2018   |
| Status  | In execution   |

| Operation Number            | RG-T3237  |
|-----------------------------|---|
| Operation Name              | Program / Facility for the Support of Regional Infrastructure and Public-Private Partnerships   |
| Donor/Fund:                 | Spanish General Cooperation Fund  |
| Sector                      | Infrastructure and Energy   |
| Countries                   | Regional  |
| Approved amount (USD)       | \$10,000,000  |
| Segment                     | Infrastructure and Energy   |
| Objective                   | The objective of this program is to support the private and public<br>sector in the development of pre-investment studies for<br>infrastructure projects in general, including those structured in a<br>scheme of Public-Private Partnerships (PPP), with high prospects of<br>reaching the phase of execution. This program will help ensure that<br>infrastructure projects in the region have a sufficiently solid<br>preparation from a technical, legal, financial and environmental<br>point of view. |
| Expected Development Impact | Promote (i) productivity and innovation, by supporting deeper<br>interventions in PPPs; (ii) institutional capacity and the rule of law,<br>by strengthening the legal-institutional environment to structure<br>projects with the participation of the private sector; and (iii) social  |



| Social and Environmental Risks<br>identified and mitigation<br>measures | inclusion and equality, for promoting the provision of social<br>infrastructure and economic infrastructure that gives the<br>population access to services and better living conditions. Likewise,<br>by supporting the construction and maintenance of a socially and<br>environmentally sustainable infrastructure, it contributes to<br>increase quality of life, through the selection of a portfolio of<br>projects that channel private financing in an innovative way.<br>Promote the development of PPPs and institutional strengthening<br>within LAC as well as to strengthen the governance structures and<br>the capacity of the institutions that promote PPPs; and the capacity<br>for origination and effective preparation of projects with the<br>private sector. |
|---|---|
| Approval year   | 2018  |
| Status  | In execution  |

| Operation Number            | CH-T1219   |
|-----------------------------|--|
| Operation Name              | Fostering Sustainable Investments in Chile   |
| Donor/Fund:                 | Clean Technology Fund  |
| Sector                      | Climate  |
| Countries                   | Chile  |
| Approved amount (USD)       | US\$ 1,600,000   |
| Segment                     | Multi-Segment  |
| Objective                   | The Advisory Services that will be executed with the resources of<br>the Energy Efficiency and Renewable Energy for Self-Supply<br>(PEEERA) Program of the Clean Technology Fund for Chile are<br>intended to promote investments in mitigation measures and / or<br>adaptation to climate change through projects to be financed by<br>the BID Invest in Chile. The specific objectives of the Advisory<br>Services Project are: i) originate transactions through the<br>identification and characterization of investment opportunities in<br>mitigation measures and / or adaptation to climate change (e.g.<br>technological, infrastructural and / or process components); ii)<br>support specific transactions in the validation and financial<br>structuring phases to ensure the bankability and viability of the<br>investment opportunities identified; iii) disseminate knowledge and<br>develop skills and abilities on: the management of risks and<br>opportunities related to climate change; the benefits of mitigation<br>measures and / or adaptation; the management and sustainable<br>use of energy through tailor-made activities for, financial<br>institutions, companies and developers of infrastructure projects |
| Expected Development Impact | Chile has ambitious objectives to move towards a low-carbon<br>economy and resilient to the impacts of climate change. These<br>objectives are well documented in the National Contribution of<br>Chile under the Paris Agreement, the Energy Policy 2050, the<br>Energy Route 2018-2022, and in the Investment, Plan developed<br>within the framework of the Clean Technology Fund. Among these,<br>the Energy Efficiency and Renewable Energy for Self-Supply<br>(PEEERA) Program of the Clean Technology Fund for Chile aims to<br>support the country to achieve its climate objectives by mobilizing<br>resources from the private sector.   |



| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified |
|---|--------------------------|
| Approval year   | 2018                     |
| Status  | In execution             |

| Operation Number  | TAS020  |
|---|---|
| Operation Name  | Promoting Best Practices for Sustainable Value Chain Solutions in LAC   |
| Donor/Fund:   | Technical Assistance Fund   |
| Sector  | Corporates  |
| Countries   | Regional  |
| Approved amount (USD)   | \$450,000   |
| Segment   | Corporates  |
| Objective   | To enhance sustainability across value chains in the LAC region. For<br>this, the advisory service will support with training and sharing of<br>expertise in sustainable practices for MSMEs as well as business<br>models and development strategies for value chains connected to<br>corporates and financial institutions in the region. |
| Expected Development Impact   | Contribute to strengthening the capacity of companies in LAC to implement sustainable climate-smart solutions throughout their value chains.  |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified  |
| Approval year   | 2018  |
| Status  | In execution  |

| Operation Number   | TAS021  |
|--|---|
| Operation Name   | Corporate Governance for Better Companies and Better Markets  |
| Donor/Fund:  | Technical Assistance Fund   |
| Sector   | Cross-cutting   |
| Countries  | Regional  |
| Approved amount (USD)                                    | \$750,000   |
| Segment  | Multi-Segment   |
| Objective  | Companies that implement good governance practices have higher<br>standards for board independence and professionalism, along with<br>better decision-making processes and controls, which make them<br>better equipped to deal with an increasingly complex business<br>environment. The specific objectives of this Advisory Services<br>project are support the adoption of good corporate governance and<br>transparency practices in selected LAC companies that can have a<br>positive impact and demonstration effect in their respective markets. |
| Expected Development Impact                              | To advance corporate governance practices in Latin America and<br>Caribbean (LAC), with the ultimate goal of strengthening the<br>business climate by promoting ethical values, enhancing<br>transparency, improving trust and furthering corporate social<br>responsibility.   |
| Social and Environmental Risks identified and mitigation | No risks were identified  |



| measures      |              |
|---------------|--------------|
| Approval year | 2019         |
| Status        | In execution |

| Operation Number  | TAS022  |
|---|---|
| Operation Name  | Thematic Bonds Accreditation in Latin America and the Caribbean   |
| Donor/Fund:   | Technical Assistance Fund   |
| Sector  | Multisector: Infrastructure, Corporates, Financial Institutions   |
| Countries   | Regional  |
| Approved amount (USD)   | \$700,000   |
| Segment   | Financial Product and Services  |
| Objective   | To support to bond issuers w to ensure that a bond issuance<br>complies with Green and/or Social Bond Principles and/or<br>Sustainability Bonds Guidelines and are aligned with the Sustainable<br>Development Goals ("SDG"). |
| Expected Development Impact   | Promote additional positive gender and diversity inclusion, social-<br>economic impact and, climate and environmental sustainability<br>finance for the private sector issuers in LAC region.                                 |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified  |
| Approval year   | 2019  |
| Status  | In Execution  |

| Operation Number  | RG-T3138  |
|---|---|
| Operation Name  | IndexAmericas   |
| Donor/Fund:   | KPS - Korea Private Sector Development and Innovation Fund  |
| Sector  | Multi sector  |
| Countries   | Regional  |
| Approved amount (USD)   | \$420,000   |
| Segment   | Multi segment   |
| Objective   | The objectives of this Project: a) To assist stock exchanges in LAC to<br>encourage a culture of enhanced corporate governance and<br>transparency, and therefore improve corporate sustainability in the<br>LAC region; b) To help the IDB and IDB Invest to further its expertise<br>about the role of ESG indexes and the linkages with sustainable<br>development in LAC; c) To position IndexAmericas as the first<br>sustainability index of Latin America and the Caribbean; and d) To<br>bring experiences from Korea such as the Korea Exchange (KRX) in<br>order to encourage more collaboration with Korean partners<br>through IndexAmericas. |
| Expected Development Impact   | Social inclusion and equality; Gender equality and diversity; Climate change; Environmental sustainability; Partnerships  |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified  |
| Approval year   | 2019  |

## SIDB Invest

| Status | Active |
|--------|--------|

| Operation Number  | RG-T3406   |
|---|--|
| Operation Name  | Advisory Services to Create the Caribbean Water Utilities Mutual Insurance   |
| Donor/Fund:   | NDC Pipeline Accelerator Multi-Donor Trust Fund  |
| Sector  | Infrastructure and Energy  |
| Countries   | Caribbean Region   |
| Approved amount (USD)   | US\$ 750,000   |
| Segment   | Water and Sanitation   |
| Objective   | The objective of these Advisory Services (AS) project is to perform<br>feasibility analyses for the operationalization of a Mutual for<br>Caribbean Water and Sewerage Companies to insure Climate<br>Related Risks (the Mutual). It is expected that the Mutual will<br>generate an impact reducing the recovery time of water and<br>sanitation systems after a hurricane and increase their resilience<br>against such events. The specific objectives of the AS are to: (i)<br>structure a facility to support mutual assistance and post disaster<br>support from participating water utilities through the availability of<br>funds for travel, lodging and reimbursement of expenses allowing<br>the water operators of the Caribbean region to mobilize quickly to<br>support one of their peers in case of crisis; and (ii) structure a<br>parametric insurance of losses -amount set in function of the<br>magnitude of the climate events- to be defined through risks to be<br>reinsured, thus requiring a minimal equity component. |
| Expected Development Impact   | It is expected that these AS project will result in a new innovative<br>financial mechanism having an impact in the resiliency of Caribbean<br>water utilities. Reinsurers have considerable information on adverse<br>events and their impacts; therefore, their participation would create<br>a better understanding of the risks, helping to mitigate/reduce those<br>reinsured. The Mutual structure will promote the adoption of best<br>practices as increase resiliency would result in lower premium. The<br>Mutual will result, at the same time, in an opportunity to scale-up<br>resiliency and adaptation investment and will generate a climate<br>finance market in which development banks would finance the<br>resiliency investments required from the utilities.   |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified   |
| Approval year   | 2019   |
| Status  | In execution   |

| Operation Number | RG-T3405   |
|------------------|--|
| Operation Name   | Advisory Services to Enhance Infrastructure Resiliency in Private<br>Investments |
| Donor/Fund:      | Sustainable Energy and Climate Change Multidonor (MSC)                           |
| Sector           | Infrastructure and Energy  |
| Countries        | Regional   |



| Approved amount (USD)   | US\$ 1,500,000   |
|---|--|
| Segment   | Transport  |
| Objective   | The objective of these Advisory Services (AS) is to promote climate<br>change adaptation in private infrastructure investments. The specific<br>objectives are to: (i) design a set of Ratings and Action Plans for<br>Resilient Investments to enhance private project sponsors capacities<br>to develop resilient infrastructure; (ii) define project studies to be<br>included in the conception, design, construction and/or operation of<br>infrastructure; and (iii) disseminate results and knowledge to<br>generate awareness among project sponsors for the replicability of<br>resilient infrastructure. |
| Expected Development Impact   | These AS will support infrastructure project developers to<br>understand climate risks, define the business case to meet resiliency<br>through and by project, and conceive corporate strategies to handle<br>resiliency as early as possible in the lifespan of their assets -beyond<br>the traditional add-on to the core design-build process. These AS will<br>disclose new resiliency metrics and action plans for project sponsors<br>to meet climate change adaptation and will fund designs and studies<br>requires to meet those targets.   |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified   |
| Approval year   | 2019   |
| Status  | In Execution   |

| Operation Number  | RG-T3483   |
|---|--|
| Operation Name  | Corporate Venturing in the Caribbean   |
| Donor/Fund:   | Compete Caribbean Partnership Facility (CCPII)   |
| Sector  | Corporates and Financial Sectors   |
| Countries   | Caribbean (Bahamas; Barbados; Belize; Guyana; Jamaica; Suriname;<br>and Trinidad and Tobago)   |
| Approved amount (USD)   | 500,000.00   |
| Counterpart (USD)   | 150,000.00   |
| Segment   | Corporates and SMEs  |
| Objective   | Test the feasibility of corporate venturing models and their benefits<br>in the Caribbean, by supporting one or more Caribbean corporate<br>venturing pilot(s) through which corporates operating in the<br>Caribbean will collaborate with startups to improve their<br>competitive positions through the development and adoption of<br>digital technologies, including industry 4.0 technologies. |
| Expected Development Impact   | Detailed plans developed that help firms to improve productivity<br>growth and innovation<br>Increased innovation capacity of treated women-led and men-led<br>firms   |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified.  |
| Results (at termination)  | In execution   |
| Approval year   | 2019   |
| Status  | In execution   |



| Operation Number  | TAS023  |
|---|---|
| Operation Name  | Sustainable Supply Chain Financing Mechanisms   |
| Donor/Fund:   | Technical Assistance Fund   |
| Sector  | Multisector   |
| Countries   | Regional  |
| Approved amount (USD)   | \$450,000   |
| Segment   | Multi-segment   |
| Objective   | To leverage the growing supply chain finance mechanisms to<br>accelerate the uptake of inclusive and sustainable practices by<br>anchor companies (buyers) and their providers; and support<br>companies in the achievement of their sustainable sourcing goals,<br>by: (i) designing and piloting the use of incentives in trade and<br>supply chain financing embedding sustainability<br>indicators/performance; and (ii) using findings from this to design a<br>sustainable trade and supply chain finance solution that can be<br>offered to IDB Invest clients in the region |
| Expected Development Impact   | Improved sustainable and inclusive practices in the supply chain of anchor companies  |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified  |
| Results (at termination)  | In execution  |
| Approval year   | 2019  |
| Status  | In execution  |

| Operation Number  | TAS024  |
|---|---|
| Operation Name  | Inclusive and Sustainable Value Chains in LAC   |
| Donor/Fund:   | Technical Assistance Fund   |
| Sector  | Multisector   |
| Countries   | Regional  |
| Approved amount (USD)   | \$750,000   |
| Segment   | Multi-segment   |
| Objective   | Enhance inclusion and sustainability across value chains in the LAC region. For this, the Advisory Services project will support with training and sharing of expertise in fostering sustainable practices along the value chain, as well as business models and strategies for sustainable and inclusive value chains connected to corporates, infrastructure projects and financial institutions in the region. |
| Expected Development Impact   | Inclusive and sustainable value chains supported with trainings,<br>business development and strategy, and expert knowledge sharing<br>for problem solving.   |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No Risks were identified  |
| Results (at termination)  | In execution  |
| Approval year   | 2020  |
| Status  | In execution  |



| Operation Number  | RG-T3447  |
|---|---|
| Operation Name  | Sustainable and Inclusive Private Sector Initiative   |
| Donor/Fund:   | Ministry of Economy and Finance of the Republic of Italy (MEF)  |
| Sector  | Multisector   |
| Countries   | Regional  |
| Approved amount (USD)   | \$1,504,395   |
| Segment   | Multi-segment   |
| Objective   | To mobilize sustainable private investment and strengthen the<br>sustainability of the private sector in the region. The Advisory<br>Services project will support a holistic and innovative approach to<br>accelerate the uptake of sustainable practices and business models<br>by (i) strengthening sustainable value chains and projects; (ii)<br>fostering the development of sustainable products and services;<br>and (iii) disseminating knowledge and upgrading private sector<br>sustainability capacities throughout the region. |
| Expected Development Impact   | Support the private sector's meaningful contribution to the SDGs in<br>the region (i.e. reduction of plastic waste; sustainable supply chains;<br>tackling food waste; etc.).   |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No Risks were identified  |
| Results (at termination)  | In execution  |
| Approval year   | 2020  |
| Status  | In execution  |

| Operation Number  | CTA014   |
|---|--|
| Operation Name  | AS Support to Private Sector Response to COVID-19  |
| Donor/Fund:   | China Technical Assistance Fund  |
| Sector  | Multisector  |
| Countries   | Regional   |
| Approved amount (USD)   | USD \$350,000  |
| Counterpart   | N/A  |
| Segment   | Corporates, Infrastructure; Financial Institutions   |
| Objective   | Enhance IDB Invest response, deploying advisory services to support<br>clients in their efforts to adapt to the crisis and recover in the near<br>and medium future. |
| Expected Development Impact   | Increased resiliency in clients' value chains through the preparation, piloting or deployment of direct response solutions in the context of COVID-19                |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified.  |
| Results (at termination)  | In execution   |
| Approval year   | 2020   |
| Status  | In execution   |



| Operation Number  | RG-T3597   |
|---|--|
| Operation Name  | Exponential Impact Program (Building the Next Generation of Impactful Growth in a Digital Economy)                                       |
| Donor/Fund:   | Korea Private Sector Development and Innovation (KPS)  |
| Sector  | Financial services, Industry and Infrastructure  |
| Countries   | Regional   |
| Approved amount (USD)   | USD 1,000,000  |
| Counterpart   | n/a  |
| Segment   | Financial Institutions, Corporates and Infrastructure  |
| Objective   | Generating profound knowledge and insights around digital transformation and supporting companies in LAC to enhance digital capabilities |
| Expected Development Impact   | Building sustainable digital transformation ecosystem in the LAC region  |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified.  |
| Results (at termination)  | In execution.  |
| Approval year   | 2020   |
| Status  | In execution.  |

| Operation Number            | RG-T3599   |
|-----------------------------|--|
| Operation Name              | Climate Smart Agriculture for agribusinesses and their value chain in Latin America and the Caribbean  |
| Donor/Fund:                 | Sustainable energy and Climate Change Multi-Donor Trust Fund (MSC)   |
| Sector                      | Agricultural and Rural Development   |
| Countries                   | Regional   |
| Approved amount (USD)       | US\$ 400,000.00  |
| Counterpart                 | N/A  |
| Segment                     | Corporates; Agribusiness   |
| Objective                   | To support Latin America and the Caribbean (LAC) agribusinesses<br>in the implementation of climate smart agriculture (CSA) measures.<br>Climate smart agriculture pursues the triple objectives of sustainably<br>increasing productivity and incomes, adapting to climate change<br>and reducing greenhouse gas emissions where possible[1].The AS<br>will address key barriers for climate smart investments by i)<br>increasing awareness, knowledge and capacity on sustainable<br>practices along the value chain of agribusinesses, and ii) identifying<br>opportunities, designing and planning investments in CSA in order<br>to foster more efficient and resilient food production systems. |
| Expected Development Impact | Enhance management of biodiversity and ecosystem services in<br>productive landscapes; promote sustainable use of natural<br>resources; reduce greenhouse gas emissions; attract new market<br>participants; and create economic opportunities for local businesses,<br>low income rural populations, including women and indigenous<br>communities.   |



| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified. |
|---|---------------------------|
| Results (at termination)  | In execution              |
| Approval year   | 2020                      |
| Status  | In execution              |

| Operation Number  | RG-T3634   |
|---|--|
| Operation Name  | WeForLac – Enabling the growth of women-led SMEs through financial institutions and innovative financial instruments   |
| Donor/Fund:   | Women Entrepreneurs Finance Initiative (We-Fi)   |
| Sector  | Corporate and Financial Institutions   |
| Countries   | Honduras, LMIC (Guatemala, El Salvador), MIC (Mexico, Colombia,<br>Brazil, Peru)   |
| Approved amount (USD)   | USD \$2,556,000  |
| Counterpart   | N/A  |
| Segment   | Financial Institutions & Corporates  |
| Objective   | Support the growth of Women-led SMEs (WSMEs) by improving WSMEs' access to finance. For this, IDB Invest is requesting funding from the resources granted to the WeForLAC program by WE-FI to provide advisory services to clients, including financial institutions and corporates, to design new financial products targeting WSMES and promote the creation of a new asset class – gender bonds – to facilitate access to finance to WSMEs. |
| Expected Development Impact   | Promote the growth of Women-led SMEs by developing innovative<br>and catalytic projects and programs, building the entrepreneurial<br>ecosystem in challenging country environments, generating<br>important data to fill knowledge gaps, and promoting intelligent<br>public policy and private sector initiatives.   |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified   |
| Results (at termination)  | In execution   |
| Approval year   | 2020   |
| Status  | In execution   |

| Operation Number      | RG-T3676  |
|-----------------------|---|
| Operation Name        | Accelerating a Circular Economy in LAC  |
| Donor/Fund:           | Sustainable Energy and Climate Change Multi-Donor Trust Fund MSC  |
| Sector                | Corporates and Infrastructure   |
| Countries             | Regional  |
| Approved amount (USD) | USD 250,000   |
| Counterpart           | No  |
| Segment               | Corporates, Infrastructure  |
| Objective             | Support companies to identify opportunities for circularity in their<br>business models, adopt circular initiatives, and assess impact to<br>uptake and scale effective circular solutions across value chains in |



|   | the region.   |
|---|---|
|   |   |
| Expected Development Impact   | Enhance business transformation towards sustainability by<br>incorporating circular value chains in the LAC region that can<br>generate new sources of revenue throughout the life cycle of<br>materials.   |
| Social and Environmental Risks<br>identified and mitigation<br>measures | <ul> <li>There is no environmental risk. Rather, this project has the potential to produce and scale positive social and environmental impacts in LAC.</li> <li>This project complies with IDB Invest's exclusion list and aligns with IDB Invest's Environmental and Social Sustainability Policy. As a business competitiveness project, this AS project supports IDB Invest in its efforts to help businesses operate more efficiently and effectively within the market.</li> </ul> |
| Results (at termination)  | In execution  |
| Approval year   | 2020  |
| Status  | In execution  |

| Operation Number  | RG-T3705   |
|---|--|
| Operation Name  | Accelerating Financial Inclusion:<br>Responding to Covid-19 through Digital Solutions  |
| Donor/Fund:   | Korea Private Sector Development and Innovation (KPS)  |
| Sector  | Financial sector   |
| Countries   | Regional   |
| Approved amount (USD)   | USD 1,000,000  |
| Counterpart   | No   |
| Segment   | Financial Institutions   |
| Objective   | Promoting the adoption of digital transformation and implementation of digital solutions by financial and other relevant institutions in LAC |
| Expected Development Impact   | Improving Financial Inclusion and building resilience to Coivd-19  |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No social and environmental risks identified and positive social and environmental impacts expected  |
| Results (at termination)  | In execution   |
| Approval year   | 2020   |
| Status  | In execution   |

| Operation Number      | RG-T3721  |
|-----------------------|---|
| Operation Name        | WeForLac – Enabling the growth of women-led SMEs by<br>accelerating their access to markets                       |
| Donor/Fund:           | Women Entrepreneurs Finance Initiave (We-Fi)  |
| Sector                | Corporates and FinTech companies  |
| Countries             | Honduras, LMIC (Guatemala, El Salvador), MIC (Mexico, Colombia,<br>Brazil, Peru, Ecuador, and Dominican Republic) |
| Approved amount (USD) | \$2,925,000   |
| Counterpart           | No  |



| Segment   | Multi-segment, GDI   |
|---|--|
| Objective   | The objective of this AS is to increase WSMEs access to markets by strengthening the ability of anchor companies and fintech companies to integrate WSMEs in value chains and providing opportunities for WSMEs to connect. To achieve this objective, the funds will be used to: (i) build capacity and sensitize anchor companies and corporates to source from WSMEs, (ii) develop specific solutions and support private sector in increasing their sourcing from WSMEs, (iii) connect WSMEs and develop their capacity to take advantage of procurement opportunities. The current funding request focuses on Honduras, LMIC and MIC. |
| Expected Development Impact   | Providing WSMES with better access to markets is a key strategy to<br>empower women and leveling the playing field between women's<br>and men's businesses. IDB Invest has Gender, Diversity and Inclusion<br>as a transversal business priority, which underscores women's<br>empowerment and gender and diversity as an area that all<br>investment operations should strive to impact. The WeForLac<br>program will benefit a cumulative total number of 3927 women-<br>owned-led SMEs by the end of the implementation period.   |
| Social and Environmental Risks<br>identified and mitigation<br>measures | No risks were identified   |
| Results (at termination)  | In execution   |
| Approval year   | 2020   |
| Status  | In execution   |

| Operation Number  | RG-T3719  |  |
|---|---|--|
| Operation Name  | Promotion of Business Opportunities for Private Firms in Health,<br>Education and Telecom Sectors   |  |
| Donor/Fund:   | Korea Private Sector Development and Innovation (KPS)   |  |
| Sector  |   |  |
| Countries   | Regional  |  |
| Approved amount (USD)   | USD 700,000   |  |
| Counterpart   | No  |  |
| Segment   | Infrastructure and Corporates   |  |
| Objective   | This AS project will support private firms in the delivery of effective solutions in the health, education and telecom sectors (Key Sectors). This includes assisting private companies in their responses to the current COVID-19 pandemic as well as future crises. Specifically, the operation will promote improvements in the supply chain for goods and services, facilitate investments flows, and enhance the transfer of best practices. |  |
| Expected Development Impact   | Market-level outcomes: Resilience and Competitiveness (Health and Economic improvement in specific regions)   |  |
| Social and Environmental Risks<br>identified and mitigation<br>measures | Not any identified risks at this point.   |  |
| Results (at termination)  | In execution  |  |
| Approval year   | 2020  |  |
| Status  | In execution  |  |



| Operation Number  | AIC002  |  |  |
|---|---|--|--|
| Operation Name  | Accelerating Sustainable Practices in Haiti   |  |  |
| Donor/Fund:   | Haiti TAS Fund  |  |  |
| Sector  |   |  |  |
| Countries   | Haití   |  |  |
| Approved amount (USD)   | USD \$ 445,000  |  |  |
| Counterpart   | No  |  |  |
| Segment   | Corporates and Financial Institutions   |  |  |
| Objective   | Accelerate private sector's sustainable, inclusive, and resilient<br>models in both financial institutions and companies in Haiti through<br>the development and scaling of social and environmental programs<br>focusing on MSME   |  |  |
| Expected Development Impact   | Companies adopt sustainable, inclusive, and resilient models  |  |  |
| Social and Environmental Risks<br>identified and mitigation<br>measures | <ul> <li>This is an AS Project, which is defined as having no environmental risk it is classified as C. Rather, this project has the potential to produce positive social and environmental impacts for LAC.</li> <li>This project complies with IDB Invest's exclusion list and is consistent with the principles and criteria set forth in the IIC Charter, the Operating Policy, the IDB Invest's Environmental and Social Sustainability Policy, IIC's Framework to Prevent and Combat Fraud and Corruption, the Disclosure of Information Policy and other policies, regulations and mandates approved by the Board of Governors and the Board of Executive Directors of the Corporation, as established in the IDB Invest Advisory Service Manual.</li> </ul> |  |  |
| Results (at termination)  | In execution  |  |  |
| Approval year   | 2020  |  |  |
| Status  | In execution  |  |  |

## **IDB Invest Fund Contributions**

| Donor Country | Fund Name*                    | Historic contributions<br>(USD) |
|---------------|-------------------------------|---------------------------------|
| Italy         | Italian-IDB Invest Trust Fund | \$2,654,489                     |
| Austria       | Austrian Trust Fund           | \$400,000                       |
| NDF           | Nordic Development Fund       | \$6,388,900                     |
| Belgium       | Belgium Wallonia Trust Fund   | \$1,529,620                     |

\*Table includes active donor funds only